



RISK AND COMPLIANCE MANAGEMENT

Your entire risk and compliance
workflow from start to finish.



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A MESSAGE FROM OUR FOUNDERS



Thank you for taking the time to learn more about 3GRC. I trust that this brochure will provide you with an informative and useful insight into our company, and I'd like to add to that with a personal message of my own.

I am delighted to be able to tell you that since our inception in 2013 we have been exceptionally busy, realising our well defined vision, and building on an outstanding and ever strengthening foundation. We believe that we offer the new logical step in risk and compliance management, providing solutions without complexity.

Privately funded with a clear and disciplined focus, we have grown significantly over the last few years and have built a commanding industry presence with our cloud based risk management portal and associated managed services.

Our customers span the globe and cover a wide range of industries including Finance, Banking, Insurance, Media, Retail, and Legal. Our clients expect and deserve services provided by people who are experts in their roles, bringing experience and common sense.

Whether it is EMEA, APAC, NAM, or LATAM, we have locale experience and insight which can drive positive change to the risk and compliance management workflow.



Steve Smith
Co-founder



INTRODUCTION



3GRC offers proactive risk and compliance management in a way which is more intuitive. Whether our workflows are used in-house or form part of our managed service, we believe they will comprise a much needed 'backbone' throughout your organisation.

Paul Cronin, Co-founder

Risk and compliance management is complex enough without having to rely on spreadsheets, face-to-face meetings, and resource challenges. 3GRC seeks to address the problem through a software driven model which can be used internally or outsourced.

Unlike many of the technologies in the market, 3GRC has a strong emphasis on intuitive design and versatility. The 3GRC solution can be leveraged for multiple risk and compliance management workflows, delivering management information centrally without the need for multiple products and fragmented processes.

41%

IMPROVED EFFICIENCY

Over traditional spreadsheet and consultancy based risk management.

Client requirements have dictated a shift in how visibility is provided to the business and how risk/compliance gaps are remediated. 3GRC software and services provide a comprehensive risk and compliance profiling methodology with a focus on common sense and logic.

By leveraging our methodology, our clients have achieved an average improvement of 41% operational efficiency based on the resources

allocated in 2016, factoring in the time saved conducting assessments. Our approach allows configurable automation through risk identification and assessment logistics in a manner unprecedented in the marketplace.



Consultancy

With decades of experience implementing risk and compliance management programmes, we offer consultancy built around common sense and logic. Consultancy can support implementation works, one-off audits and assessments, or custom engagements leveraging our wider team. Our deliverables are tailored to focus on results rather than generate ever expanding work for our team.



Software

Our 3GRC Risk Manager software is built from the ground up to offer a better, more efficient, user friendly manner for assessments and reporting. Hosted in the cloud, we offer regular updates attuned to what our clients want without ring fencing functionality behind paywalls. Leveraged by small businesses and enterprise clients, our software promises to deliver.



Operations Centre

Delivering our matured managed service, the Operations Centre supports programmes in delivering assessments. This includes experienced penetration testers, ISO27001 consultants, GDPR specialists, and project managers, all working cohesively behind the scenes to offer a formidable risk or compliance management methodology. Supported by 3GRC software, the Operations Centre is scalable and cost effective.

OUR JOURNEY

The heritage of 3GRC is based on experiences and challenges faced in the competitive and highly risk adverse information security sector. Combined, the board of directors have over 70 years of experience running successful dynamic companies, meeting customer expectations.

All employees within 3GRC come from an information security background, with the board of directors having previously set up a successful information security product and consultancy service company.

From our sales teams, to our developers and consultants, 3GRC retains the principles of information security good practice, driving product development. This extends to ensuring that products remain topical, industry leading, and most importantly, secure.

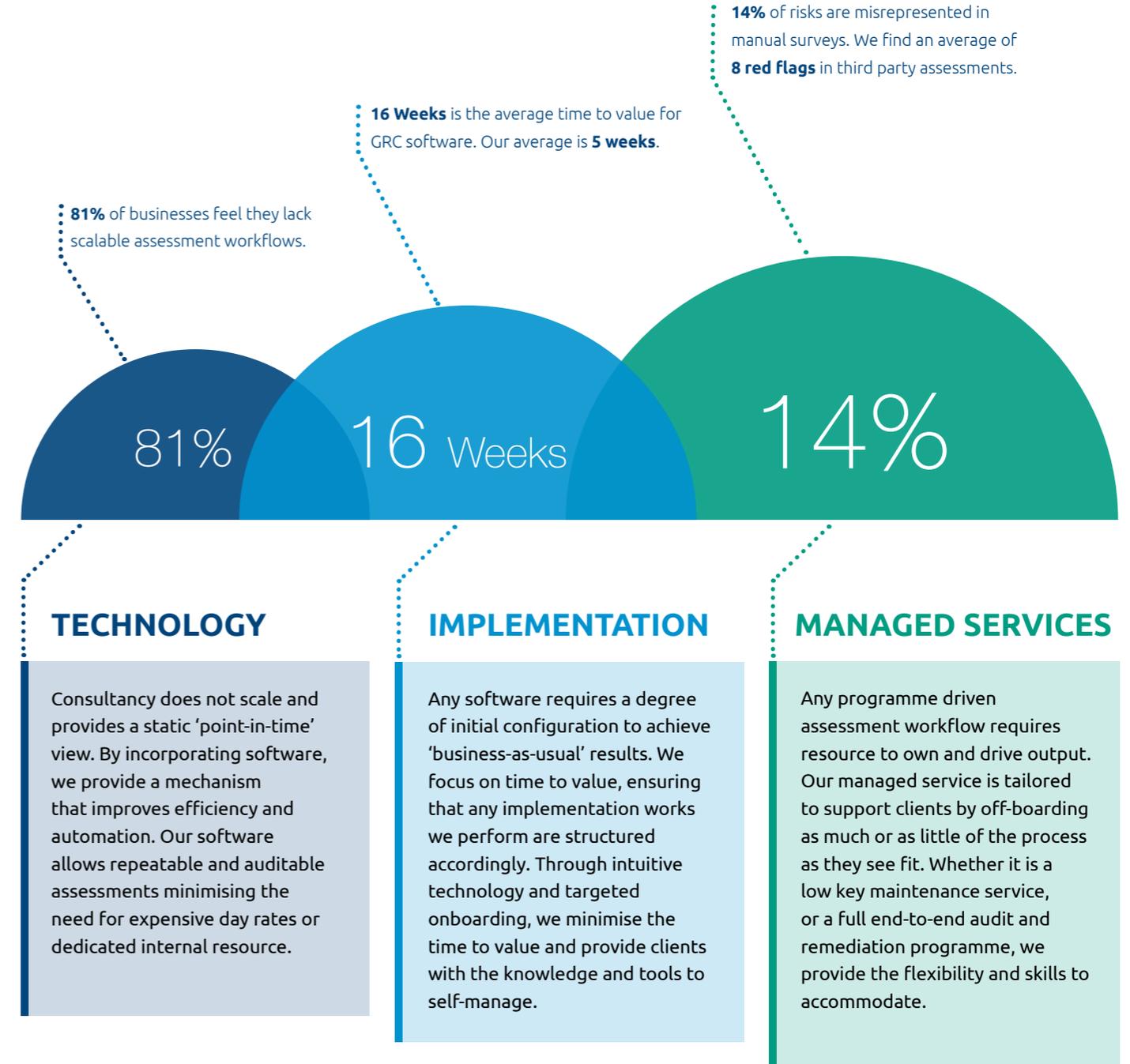
3GRC prides itself on maintaining a strong supporting consultancy team to drive and advise product development and implementation. This team has broad experience in multiple sectors governing vendor management programmes from inception to maturity. All 3GRC consultants come from an ISO27001 audit and third party management background, and in turn understand the challenges and nuances of risk and vendor management.

ENTITY MANAGEMENT PROGRAMMES

How often are legacy assessment models updated and reviewed?



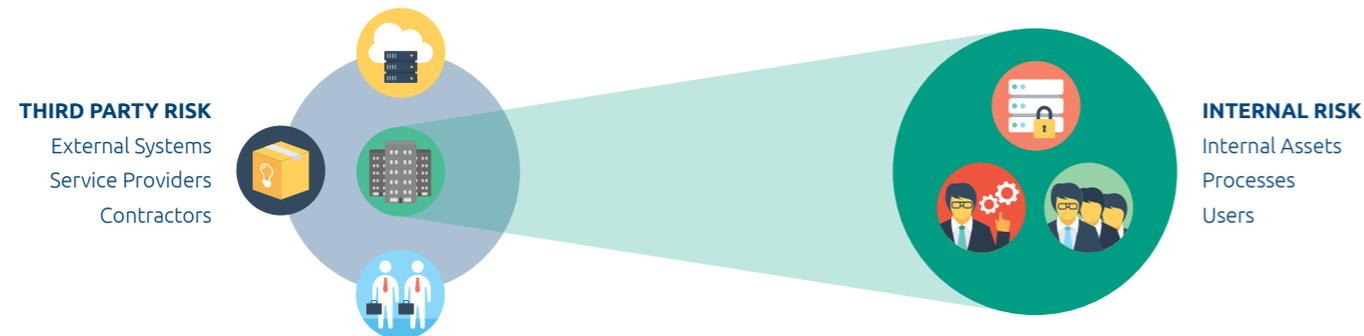
Through a lack of scalability and increased complexity, businesses are not turning management of entities into an ongoing programme, instead relying on project based exercises. Based on Ponemon Institute research, regular vendor management reviews are the exception rather than the norm.



UNIQUE APPROACH

Entity Management. Whether assessing a third party, a user, a business unit, or an asset, we consider it an entity. Regardless of what your entity is, we can apply the same workflow logic to capture information, filter noise, and drive positive change.

We believe that gaining visibility and understanding is the first step before making a decision. Rather than limit visibility to one type of group, we want to empower our clients to make informed decisions with as much context as possible. In which ever manner entities are dissected, our software and approach allows clients to manage dependencies and relationships, providing the full picture. Entities can be clustered into internal or external groupings. Both have the ability to touch client sensitive and critical data, and need to be prioritised accordingly.



THIRD PARTY RISK

Third party management includes external resource of any capacity. External sources have access to sensitive data and critical assets, and therefore need the same level of consideration and control as internal functions. Appropriate workflows include:

- **Vendor/Supplier Management**
- **Contracts Management**
- **Business Impact Assessments**
- **GDPR Data Mapping**

INTERNAL RISK

Internal risk and compliance management considers assets, users, and business units. Our approach supports visibility and hardening efforts throughout the internal environment. Appropriate workflows include:

- **Data Mapping**
- **Internal Process Mapping**
- **Business Unit Compliance Assessments**
- **BCP/DR Assessments**
- **Employee Education**

ACHIEVEMENTS

Through our entity workflow methodology, we have successfully delivered a broad range of business benefits for our clients. With a strong emphasis on risk and compliance management, the 3GRC approach feeds into Procurement, HR, InfoSec, and Legal processes. Highlighted below are some key client deliverables achieved through 3GRC software or services.

- **GDPR** – Through a GDPR readiness programme, 3GRC provided an initial assessment and ongoing remediation to realise a client commitment to GDPR compliance. 3GRC provided an audit trail of progression and benchmarking against peers.
- **Modern Slavery** – 3GRC supported a Modern Slavery compliance assessment, leveraging the prebuilt templates in the 3GRC software. This covered both internal and external compliance through the supply chain.
- **Data Mapping** – Internal business surveys allowed the identification of data attributes, feeding into a central data asset register. This included reoccurring reviews to identify data attribute changes over time.
- **User Compliance** – 3GRC issued an acceptable use policy to end users, gathering an audit trail of acceptance. Once complete, a validation assessment was conducted to find non-conforming user behaviour.
- **Vendor Risk Profiling** – By conducting a profiling exercise with vendor/supplier owners, 3GRC was able to define criticality and categorisation to feed into a wider vendor management programme.



SOFTWARE SOLUTIONS

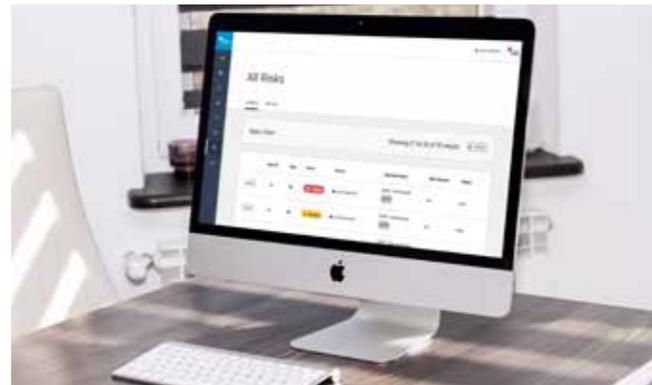
With an emphasis on in-house development rather than outsourcing, we control exactly how our software is crafted to ensure it meets the aggressive feature release timetable and quality expected from our clients.

RISK MANAGER

The Risk Manager software provides the scalability and analytics necessary to drive our workflow programmes. With security-by-design, the Risk Manager software is fully penetration tested and leverages end-to-end encryption throughout. We protect your data as carefully as our own.

The Risk Manager software focuses on providing a workflow to support any manner of discovery or assessment framework, from creation to remediation. Constantly updated and evolving new features, client feedback helps drive the direction. This agile development approach helps us to make sure that we remain at the forefront of industry.

The Risk Manager software is hosted in the cloud, ensuring regular updates and minimal input necessary from IT. We have an exciting roadmap for development and have built scalability, supporting clients with 50 users through to 20,000.



AUTOMATED RISK REGISTERS

Send surveys and view the results in your live automated risk registers. Allow multiple users to manage and update risks and see the changes immediately.

SURVEY TEMPLATES

Import pre-designed surveys and associated risks from our extensive library. Alternatively design and build your own using our simple drag and drop interface.

CENTRALISED RISK REPORTING

See your entire risk profile in our live reporting section. See trends and management information and download executive reports to your desktop.

MITIGATION TOOLS

Use our mitigation tools to capture and audit conversations. Record estimated completion dates and match documentation against your risks.

SURVEY SCHEDULING

Ensure a seamless surveying process using our scheduling tools. Set surveys to repeat inline with your timescales to make regular assessments even easier.

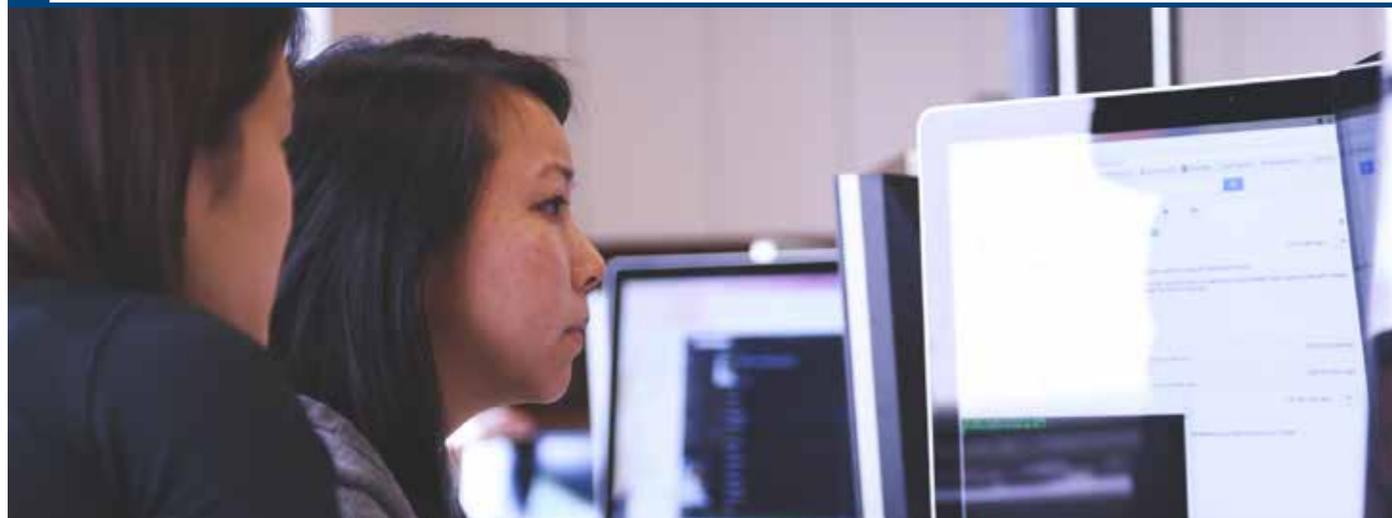
ENTERPRISE READY

Our solutions are hosted in AWS (Amazon Web Services) and built to scale with your business. We use the most robust security available to protect your data.

THREAT IQ

Our ThreatIQ analysis service provides a passive assessment to identify any publically accessible information relevant to the entity through data mining exercises. This includes searches through common threat distribution networks to identify sensitive content for sale or released by malicious actors.

The ThreatIQ assessment reviews technical risks based on public facing sites or infrastructure that can be exploited. Our ThreatIQ assessment offers a formal report, with risks populated within a 3GRC Risk Register for any remediation activities.



MANAGED SERVICES

The Operations Centre supports you in effectively managing your 3GRC Programme. Whether you choose to purchase a portal license only or a full 3GRC Managed Service, the Operations Team will be on hand to guide you through the journey and assist you with any queries you have along the way.

Representatives of the team will work with you to define your success criteria and create a bespoke plan for deployment. We will work with you to meet objectives at a rate that is comfortable and realistic for you to work with. Once you are up and running, the 3GRC Operations Team can assist with the day to day management of the portal and will keep you up to date with the latest product releases to help you gain the maximum benefit of the 3GRC solution.

Our consultants within the Operations Team take the time to understand your unique business requirements and translate these needs into a workflow which integrates within the 3GRC portal framework. These services include the creation of an Operational Manual documenting the supporting processes,

survey content and risk definition, as well as helping to tune the risk attributes to your risk appetite as you begin to gain insight from the 3GRC portal.

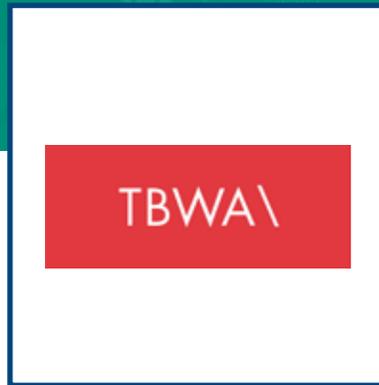
The Operations Centre will take ownership of many of the resource intensive aspects so your time can be used more effectively. The Operations Centre will manage your process for entity onboarding on your behalf and monitor progression of survey completion. This includes communicating with key contacts when required. After generating risks within the automated risk register, management of remediation tasks can be delivered by us. We provide reporting of key activity monthly, with quarterly maturity assessments highlighting key objectives for progression over time.

COMPREHENSIVE PROFILING



OUR CLIENT BASE

Our software and solutions are used globally by some of the world's biggest and best brands. We work within a wide range of industries including Finance, Banking, Insurance, Media, Retail, and Legal. We help them take on the unique risk and compliance challenges they face on a day to day basis.



TBWA
MEDIA AND ADVERTISING

“Through automation and streamlining of risk assessment management, 3GRC saves me time and money.”

Paul Scott, TBWA



Ageas
INSURANCE

“3GRC has allowed us to define risks and impact levels up front, and generate consistent risks in a risk register automatically.”

David Newstead, Ageas



Clydesdale Bank
FINANCE



Euromoney
MEDIA



Saga
INSURANCE



Noble Group
OIL AND GAS



Co-op Insurance
INSURANCE



Mitie
FACILITIES MANAGEMENT

Through our unique entity management methodology, we provide the framework across industry to drive positive change and improve visibility. We are used to hearing unique challenges and requirements based on the industry in question, and relish the opportunity to solve legacy and emerging problems.

What we deliver to our clients varies, and covers all aspects of our service. With over 65,000 third party entities within scope across the 3GRC client base, we are in tune with the current landscape.

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